

FORM PTO-1449

**LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT**

(Use several sheets if necessary)

ATTY. DOCKET NO.  
704117.4004SERIAL NO.  
Not yet assignedAPPLICANT:  
Richard S. GinnFILING DATE:  
Herewith (10/6/2003)GROUP:  
Not yet assigned

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	3,683,655	8/15/1972	White, et al.			
	3,757,783	9/11/1973	Alley			
	5,306,234	4/26/1994	Johnson			
	5,855,601	1/5/1999	Bessler, et al.			
	5,588,424	12/31/1996	Insler, et al.			
	5,588,992	12/31/1996	Scott, et al.			
	5,707,352	1/13/1998	Sekins, et al.			
	5,904,648	5/18/1999	Arndt, et al.			
	5,954,636	9/21/1999	Schwartz, et al.			
	5,954,766	9/21/1999	Zadno-Azizi et al.			
	6,003,517	12/21/1999	Sheffield, et al.			
	6,020,380	2/1/2000	Killian			
	6,027,525	2/22/2000	Suh, et al.			
	6,174,323 B1	1/16/2001	Biggs, et al.			
	6,258,100 B1	7/10/2001	Alferness, et al.			
	6,287,290	9/11/2001	Perkins et al.			
	6,293,951	9/25/2001	Alferness et al.			
	6,327,505	12/2001	Medhkour et al.			
	6,348,064	2/19/2002	Kanner			
	US2001/0037808	Pub 11/2001	Deem et al.			
	US2001/0052344	Pub. 12/2001	Doshi			

EXAMINER:  
Not yet assigned

DATE CONSIDERED:

9/28/05

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609;  
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<i>SSR</i>		US2002/0112729	8/2002	DeVore et al.			
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FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
<i>SSR</i>		WO 01/66190	March 2001	PCT		<i>✓</i>	
<i>SSR</i>		WO 01/87170	May 2001	PCT		<i>✓</i>	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
<i>SSR</i>		Clifton A. Alferness, et al., EPO Publication No. EP 1 078 601 A2, "Lung Volume Reduction Apparatus", 2.28/2001.
<i>SSR</i>		T. Sabanathan, PCT Publication No. WO 98/48706, "Occlusion Device", 11/5/1998.
<i>SSR</i>		C. Danek, et al., PCT Publication No. WO 00/62699, "Modification of Airways by Application of Energy", 10/26/2000.
<i>SSR</i>		Rodney A. Perkins, et al., PCT Publication No. WO 01/02042 A1, "Methods, Systems, and Kits for Lung Volume Reduction", 1/11/2001.
<i>SSR</i>		Clifton A. Alferness, et al., PCT Publication No. WO 01/13839 Amendment, "Lung Reduction Device, System, and Method, 3/1/2001.
<i>SSR</i>		Edward P. Ingenito, PCT Publication No. WO 01/13908 A2, "Tissue Volume Reduction", 3/1/2001.

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